

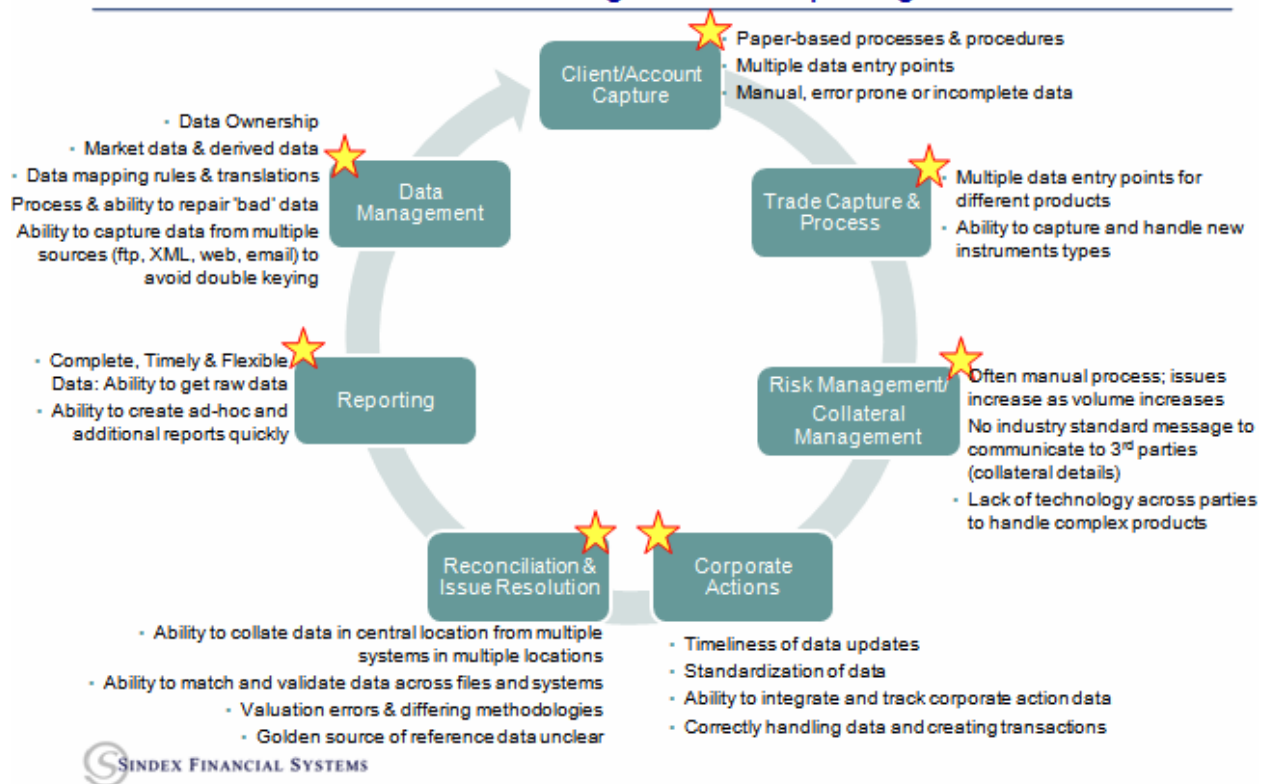
## Preparing for Regulatory Demands in Today's Environment

Hedge Funds and Institutional Investment Managers have to be more prepared than ever to react quickly and accurately, not only to today's volatile market, but to the increasing controls and rules set by regulatory bodies. The FSA and the SEC's both set restrictions on short sales of certain financial institutions as well as reporting requirement to disclose short positions. These new rules drive home the point that companies need to have accurate, consolidated data at their finger tips. Furthermore, the ability to respond to changes and disclose information promptly as well as address investor inquiries is critical to diffusing concerns.

How can companies prepare for new rules and regulations? The answer corresponds directly with the firm's data management capabilities and reporting infrastructure. Data management refers to the ability to capture, verify, enrich, store and retrieve data for analysis and reporting purposes.

Data Management has become increasingly complex as the growth and demand for innovative financial products increased over the past years. Disparate data stored in multiple business applications across multiple geographically locations must now integrate to a central repository to view and report across the transaction lifecycle as well as across the firm's business.

### Common Data Management & Reporting Issues





While data management is a complex issue, solutions to harness the data for quick and flexible reporting and compliance checks can range in complexity. Undertaking a data management and reporting effort includes:

- Defining the initial scope and business objectives
- Identifying and understanding key data elements
- Identifying data origin and use throughout the transaction lifecycle
- Defining data validation, data scrubbing and data manipulation rules
- Reviewing the current technology landscape and architecture components
- Defining a solution to meet immediate and long term objectives

New regulations and requirements are likely to increase over time in the face of crisis with companies expected to comply in tight timeframes. Implementing a solution that centralizes, validates and integrates the firms data not only eases the ability to respond quickly to these demands, but adds value by giving the firm new analytical and reporting capabilities.

#### About Sindex

Sindex Financial Systems is a premier investment systems consultancy that delivers high-impact economic value to the world's leading asset managers and hedge funds. We provide innovative investment technology management, services and products that scale with business growth.

Part of Sindex's core offerings includes enterprise data management and reporting infrastructure solutions that enable our clients to comply with regulators, offer new financial products and enhance customer service. We have helped clients with interim solutions to comply with immediate regulatory requirements as well as build large-scale solutions to solve current and future objectives.

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